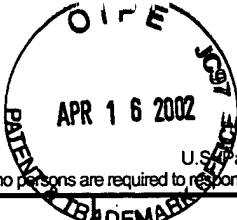


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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

### Complete if Known

Application Number	10/040,269
Filing Date	October 29, 2001
First Named Inventor	PHAN, LOC X.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	018563-001120US

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
<i>CW</i>	AA	3,922,786		Lavin	12/1975	
<i>CW</i>	AB	5,011,705		Lemchen	4/1991	
<i>CW</i>	AC	5,957,686		Anthony	9/1999	
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### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	AH	WO	98/58596			12/1998		

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AI	Chippone, "Constructing the gnathologic setup and positioner" <i>Journal of Clinical Orthodontics</i> (1980) 14:121-133.	
	AJ	Cottingham, "Gnathologic clear plastic positioner" <i>American Journal of Orthodontics</i> (1969) 55:23-31.	
	AK	Cureton, "Correcting malaligned mandibular incisors with removable retainers" <i>Journal of Clinical Orthodontics</i> (1996) 30:390-395.	
	AL	Kesling et al., "The philosophy of the tooth positioning appliance" <i>American Journal of Orthodontics and Oral Surgery</i> (1945) 31:297-304.	
	AM	Kesling et al., "Coordinating the predetermined pattern and tooth positioner with conventional treatment" <i>American Journal of Orthodontics and Oral Surgery</i> (1945) 32:285-293.	
	AN	Kleeman et al., "The speed positioner" <i>Journal of Clinical Orthodontics</i> (1996) 30:673-680.	
	AO	Product Brochure, Raintree Essix™, Inc. New Orleans, Louisiana 70125, 7 pages total from <a href="http://www/essix.com/magazine/default.html">http://www/essix.com/magazine/default.html</a> .	

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Sheet 2 of 2

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	AP	Product Brochure, Tru-tain Orthodontic & Dental Supplies, Rochester, Minnesota 55902, 16 pages total.						
	AQ	Shilliday, "Minimizing finishing problems with the mini-positioner" <i>American Journal of Orthodontics</i> (1971) 59:596-599.						
	AR	Warunek et al., "Clinical use of silicone elastomer appliances" <i>Journal of Clinical Orthodontics</i> (1989) 23:694-700.						
	AS	Wells, "Application of the positioner appliance in orthodontic treatment" <i>American Journal of Orthodontics</i> (1969) 55:23-31.						

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